

**Lori A. Weber**  
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**Professional Experience:**

**Franklin Templeton, Fort Lauderdale, Florida** **1997-2023**  
Vice President and Senior Associate General Counsel (2013-June 2023), Vice President and Associate General Counsel (2011-2013), Associate General Counsel (2008-2011), Senior Corporate Counsel (2001-2008), Corporate Counsel (1997-2001)

*Secretary/Co-Secretary, Vice President and lead counsel* for over 40 U.S. registered investment companies comprised of over 100 funds, with responsibility and oversight of teams comprised of attorneys, paralegals, compliance analysts and legal specialists handling contracts, corporate governance matters, regulatory notices and filings, Board, Audit, Nominating and Shareholders' meetings.

Advised funds, their Boards and service providers as well as Franklin Resources, Inc. and its corporate subsidiaries on a wide range of matters, including Board and corporate governance, regulatory compliance, risk, disclosure, contracts, litigation and proxy and annual meeting matters.

*Management of Proxy Voting:* Legal oversight of global proxy voting processes with approximately 10,000 meetings analyzed/voted annually.

*Management of Substantial Shareholder Regulatory Reporting:* Legal oversight of global substantial shareholder reporting with approximately 3,500 regulatory filings (in 60+ jurisdictions) completed annually. Lead counsel for legal matters related to the Securities Exchange Act of 1934, including Section 16 and Sections 13D, 13F and 13G.

Lead counsel for OFAC/global sanctions matters and member of Global Sanctions/AML Committees.

Member of Investment Company Institute's Closed-end Funds Committee.

**Other Legal and Business Experience:**

Willkie Farr & Gallagher, New York, New York

Corporate/Securities Associate - General corporate representation, corporate finance, and mergers and acquisitions, including representation of issuers and underwriters in public offerings of debt and equity, public and private offerings, credit facilities, going private transactions and asset and stock purchases and dispositions. Responsibilities included negotiating and drafting principal and ancillary documents and legal opinions, regulatory compliance of public companies, preparing SEC filings, and facilitating the SEC review process.

U.S. Bankruptcy Court, Eastern District of New York, Brooklyn, New York  
Law Clerk for the Honorable Conrad B. Duberstein, Chief Judge - Drafted preliminary legal opinions and memoranda of law, research, responsible for administrative operations of court and chambers, liaison between chambers and counsel, supervised law student intern program.

Adams Cohen Securities, Inc., Great Neck, New York  
Vice President - Trading and Operations - Supervised trading and investment activities. Market-maker in OTC traded securities. Participated in underwritten IPOs. Responsible for firm's compliance with securities laws and regulations. Monitored the clearing agent's functions, supervised brokers, handled securities arbitrations and extensive client and broker-dealer relationships.  
Securities Qualifications: Series 7, Series 63 and Series 24.

### **Education:**

**St. John's University School of Law**, Jamaica, New York, Juris Doctor  
**St. John's University College of Business Administration**, Jamaica, New York,  
Bachelor of Science, Marketing

### **Admissions:**

Admitted to the Bars of the States of New York and Florida and the United States District Court for the Eastern District of New York.

### **Publication:**

Bad Bytes: The Application of Strict Products Liability to Computer Software, 66 St. John's Univ. L. Rev. 469 (1992). Republished with permission in: The Tort Insurance Law Journal